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	<b>TRANSMITTAL LETTER</b> (General - Patent Pending)	Docket No. 00-106
In Re Application of: <b>MUELLER et al.</b>		
Serial No. 09/993,228	Filing Date November 14, 2001	Examiner Not Yet Assigned
Group Art Unit Not Yet Assigned		
Title: <b>METHOD AND APPARATUS FOR DYNAMIC RULE AND/OR OFFER GENERATION</b>		
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>  Transmitted herewith is:  <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;">           Copy of Notice of Incomplete Nonprovisional Application, 2 pp.;            Petition for Extension of Time Under 37 CFR 1.136(a); 1 pg., (x2);            Assertion of Small Entity Status, 1 pg.; and            Executed Combined Declaration and Power of Attorney, 7 pp.; and            Return Receipt Postard.         </div> <div style="width: 35%; text-align: center;"> <b>RECEIVED</b>   <b>MAY 01 2002</b>   <b>OFFICE OF PETITIONS</b> </div> </div> in the above identified application.		
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <input type="checkbox"/> No additional fee is required.  <input type="checkbox"/> A check in the amount of _____ is attached.  <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. <b>50-0271</b> as described below. A duplicate copy of this sheet is enclosed.           <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Charge the amount of <b>\$120.00</b>  <input checked="" type="checkbox"/> Credit any overpayment.  <input checked="" type="checkbox"/> Charge any additional fee required.           </div> </div> <div style="width: 35%; text-align: center;">   <hr style="width: 100%;"/>           Signature         </div> </div>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;">           Dean P. Alderucci            Attorney for Applicants            PTO Registration No. 40,484            203.461.7337/phone            203.461.7300/fax             Customer No. 22927             Alderucci@walkerdigital.com            CC:         </div> <div style="width: 35%; text-align: center;">           Dated: <b>April 2, 2002</b> </div> </div>		
<div style="font-size: small;">           I certify that this document and fee is being deposited <b>April 2, 2002</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.         </div> <div style="text-align: center; margin-top: 10px;">             Signature of Person Mailing Correspondence   <b>Veronika S. Leliever</b>            Typed or Printed Name of Person Mailing Correspondence         </div>		

**COPY**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,228	11/14/2001	Raymod J. Mueller	00-106

CONFIRMATION NO. 8478

## FORMALITIES LETTER



\*OC000000007376046\*

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Date Mailed: 01/28/2002

**NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)**

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- ✓ The specification does not include at least one claim.  
*A complete specification as prescribed by 35 U.S.C. 112 is required.*

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MAY 01 2002

The required items noted below SHOULD be filed along with any items required above. The filing date of nonprovisional application will be the date of receipt of the items required above.

- ✓ The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- ✓ The balance due by applicant is \$ 740.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

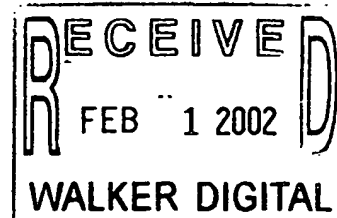
The required item(s) identified below must be timely submitted to avoid abandonment:

- ✓ A substitute specification in compliance with 37 CFR 1.52 because:

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- Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")* ✓
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

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